SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL

OMB Number: 3235-0104 Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

Harty Harriet K	2. Date of Event Requiring Statement (Month/Day/Year) 01/05/2015		3. Issuer Name <b>and</b> Ticker or Trading Symbol <u>ALLSTATE CORP</u> [ ALL ]					
(Last) (First) (Middle) C/O THE ALLSTATE CORPORATION 2775 SANDERS ROAD			4. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner Officer (give title X Other (specify below) Executive Vice President - AIC		er (Mo cify 6. li	<ul> <li>5. If Amendment, Date of Original Filed (Month/Day/Year)</li> <li>6. Individual or Joint/Group Filing (Check Applicable Line)</li> </ul>		
(Street) NORTHBROOK IL 60062 (City) (State) (Zip)						X Form filed by One Reporting Person Form filed by More than One Reporting Person		
Table I - Non-Derivative Securities Beneficially Owned								
1. Title of Security (Instr. 4)		2	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership 4		4. Nature of Indirect Beneficial Ownership (Instr. 5)		
Common Stock			3,113	D				
Common Stock			7,474	Ι	By 4	401(k) Plan		
Table II - Derivative Securities Beneficially Owned           (e.g., puts, calls, warrants, options, convertible securities)								
1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise	5. Ownership Form:	6. Nature of Indirect Beneficial Ownership (Instr. 5)	
	Date Exercisable	Expiratior Date	Title	Amount or Number of Shares	Price of Derivative Security	Direct (D) or Indirect (I) (Instr. 5)		
Employee Stock Option (Right to Buy)	02/21/2010	02/21/2016	5 Common Stock	942	53.84	D		
Employee Stock Option (Right to Buy)	02/20/2011	02/20/2017	7 Common Stock	957	62.24	D		
Employee Stock Option (Right to Buy)	02/26/2012	02/26/2018	3 Common Stock	1,813	48.82	D		
Employee Stock Option (Right to Buy)	02/27/2013	02/27/2019	Common Stock	7,098	16.83	D		
Employee Stock Option (Right to Buy)	02/22/2014	02/22/2020	Common Stock	4,841	31.41	D		
Employee Stock Option (Right to Buy)	(1)	02/22/2021	Common Stock	5,083	31.74	D		
Employee Stock Option (Right to Buy)	(2)	02/21/2022	2 Common Stock	7,228	31.56	D		
Employee Stock Option (Right to Buy)	(3)	12/03/2022	2 Common Stock	1,116	40.49	D		
Employee Stock Option (Right to Buy)	(4)	02/12/2023	3 Common Stock	9,399	45.61	D		
Employee Stock Option (Right to Buy)	(5)	02/18/2024	Common Stock	4,618	52.18	D		
Restricted Stock Units	02/22/2015	02/22/2015	5 Common Stock	128	(6)	D		
Restricted Stock Units	(7)	02/16/2016	5 Common Stock	993	(7)	D		
Restricted Stock Units	(8)	12/03/2016	5 Common Stock	160	(8)	D		
Restricted Stock Units	(9)	02/12/2017	7 Common Stock	2,467	(9)	D		
Restricted Stock Units	02/18/2017	02/18/2017	7 Common Stock	3,306	(10)	D		

## Explanation of Responses:

1. Stock option award granted on February 22, 2011 for 5,083 shares of common stock vesting in 3 increments with the remaining 25% vesting on February 22, 2015.

2. Stock option award granted on February 21, 2012 for 7,228 shares of common stock vesting in 3 increments. 50% vested on February 21, 2014. Half of the remaining stock options will vest on February 21, 2015 and the other half will vest on February 21, 2016.

3. Stock option award granted on December 3, 2012 for 1,116 shares of common stock vesting in 3 increments. 50% vested on December 3, 2014. Half of the remaining stock options will vest on December 3, 2015 and the other half will vest on December 3, 2016.

4. Stock option award granted on February 12, 2013 for 9,399 shares of common stock vesting in 3 increments. 50% vesting on February 12, 2015, 25% vesting on February 12, 2016, and the remaining 25% vesting on February 12, 2017.

5. Stock option award granted on February 18, 2014 for 4,618 shares of common stock vesting in 3 increments, with one third vesting on February 18, 2015, February 18, 2016, and February 18, 2017, with any fractional shares to be rounded pursuant to reporting persons option award.

6. Award of Restricted Stock Units (RSUs) granted on February 22, 2011 under The Allstate Corporation 2009 Equity Incentive Plan (now known as The Allstate Corporation 2013 Equity Incentive Plan). Each RSU represents the right to receive, without the payment of any consideration, one share of Allstate common stock on the day following the last day of the period of restriction (the conversion date). The remaining restricted stock units will convert on February 22, 2015.

7. Award of Restricted Stock Units (RSUs) granted on February 21, 2012 under The Allstate Corporation 2009 Equity Incentive Plan (now known as The Allstate Corporation 2013 Equity Incentive Plan). Each RSU represents the right to receive, without the payment of any consideration, one share of Allstate common stock on the day following the last day of the period of restriction (the conversion date). 50% of the total number of RSUs converted on February 21, 2014. Half of the remaining restricted stock units will convert on February 21, 2015 and the other half will convert on February 21, 2016.

8. Award of Restricted Stock Units (RSUs) granted on December 3, 2012 under The Allstate Corporation 2009 Equity Incentive Plan (now known as The Allstate Corporation 2013 Equity Incentive Plan). Each RSU represents the right to receive, without the payment of any consideration, one share of Allstate common stock on the day following the last day of the period of restriction (the conversion date). 50% of the total number of RSUs converted on December 3, 2014. Half of the remaining restricted stock units will convert on December 3, 2015 and the other half will convert on December 3, 2016.

9. Award of Restricted Stock Units (RSUs) granted on February 12, 2013 under The Allstate Corporation 2009 Equity Incentive Plan (now known as The Allstate Corporation 2013 Equity Incentive Plan). Each RSU represents the right to receive, without the payment of any consideration, one share of Allstate common stock on the day following the last day of the period of restriction (the conversion date). 50% of the total number of RSUs will convert on February 12, 2015, 25% will convert on February 12, 2016, and the remaining 25% will convert on February 12, 2017.

10. Award of Restricted Stock Units (RSUs) granted on February 18, 2014 under The Allstate Corporation 2013 Equity Incentive Plan. Each RSU represents the right to receive, without the payment of any consideration, one share of Allstate common stock on the day following the last day of the period of restriction (the conversion date). The total number of RSUs will convert on February 18, 2017.

<u>/s/ Harriet K. Harty</u>	<u>01/14/2015</u>		
** Signature of Reporting Person	Date		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

## POWER OF ATTORNEY

KNOW BY ALL THESE PRESENTS, that the undersigned hereby constitutes and appoints each of Sarah Choi, Barbara Green, Daniel G. Gordon, Katherine Smith, Efie Vainikos, signing singly, the undersigned's true and lawful attorney-in-fact to:

- (1) prepare, execute in the undersigned's name and on the undersigned's behalf, and submit to the U.S. Securities and Exchange Commission (the "SEC") a Form ID, including amendments thereto, and any other documents necessary or appropriate to obtain codes and passwords enabling the undersigned to make electronic filings with the SEC of reports required by Section 16(a) of the Securities Exchange Act of 1934 or any rule or regulation of the SEC;
- (2) execute for and on behalf of the undersigned, in the undersigned's capacity as an officer and/or director of The Allstate Corporation (the "Company"), Forms 3, 4, and 5 in accordance with Section 16(a) of the Securities Exchange Act of 1934 and the rules thereunder; and any other forms or reports the undersigned may be required to file in connection with the undersigned's ownership, acquisition, or disposition of securities of the Company;
- (3) do and perform any and all acts for and on behalf of the undersigned which may be necessary or desirable to complete and execute any such Form 3, 4, or 5, or other form or report, and timely file such form or report with the SEC and any stock exchange or similar authority; and
- (4) take any other action of any type whatsoever in connection with the foregoing which, in the opinion of such attorneyin-fact, may be of benefit to, in the best interest of, or legally required by, the undersigned, it being understood that the documents executed by such attorney-in-fact on behalf of the undersigned pursuant to this Power of Attorney shall be in such form and shall contain such terms and conditions as such attorney-in-fact may approve in such attorney-in-fact's discretion.

The undersigned hereby grants to each such attorney-in-fact full power and authority to do and perform any and every act and thing whatsoever requisite, necessary, or proper to be done in the exercise of any of the rights and powers herein granted, as fully to all intents and purposes as the undersigned might or could do if personally present, with full power of substitution or revocation, hereby ratifying and confirming all that such attorney-in-fact, or such attorney-in-fact's substitute or substitutes, shall lawfully do or cause to be done by virtue of this power of attorney and the rights and powers herein granted. The undersigned acknowledges that the foregoing attorneys-in-fact, in serving in such capacity at the request of the undersigned, are not assuming, nor is the Company assuming, any of the undersigned's responsibilities to comply with Section 16 of the Securities Exchange Act of 1934.

This Power of Attorney shall remain in full force and effect until the undersigned is no longer required to file Forms 3, 4, and 5 with respect to the undersigned's holdings of and transactions in securities issued by the Company, unless earlier revoked by the undersigned in a signed writing delivered to the foregoing attorneys-in-fact.

IN WITNESS WHEREOF, the undersigned has caused this Power of Attorney to be executed as of this 8th day of January, 2015.

\_/s/ Harriet K. Harty\_\_\_\_\_

\_\_Harriet K. Harty\_\_\_\_\_ Print Name